

04-13-01

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PTO/SB/05 (11-00)

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Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))Attorney Docket No. 005217.P005c

(maximum 12 characters)

First Named Inventor Mai-lan TomsenTitle: METHOD AND SYSTEM TO DEFER TRANSACTIONS CONDUCTED VIA INTERACTIVE TELEVISIONExpress Mail Label No. EL431685292USADDRESS TO: **Assistant Commissioner for Patents**
Box Patent Application
Washington, D. C. 20231**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original, and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. X **Specification (Total Pages 28)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table,
 or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. X **Drawings(s) (35 USC 113) (Total Sheets 9)**
5. X **Oath or Declaration (Total Pages 5)**
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. X Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies), or
 - ii. paper
 - c. Statement verifying identity of above copies

11000 U.S. PTO
09/834043

04/11/01

9.	<u> </u>	Assignment Papers (cover sheet & documents(s))
10.	<u> </u>	a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
	<u> </u>	b. Power of Attorney
11.	<u> </u>	English Translation Document (if applicable)
12.	<u> </u>	a. Information Disclosure Statement (IDS)/PTO-1449
	<u> </u>	b. Copies of IDS Citations
13.	<u> </u>	Preliminary Amendment
14.	<u> X </u>	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.	<u> </u>	Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.	<u> X </u>	<u>Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</u>
17.	<u> X </u>	Other: separate title page with express mail certificate, copy of postcard and attorney's signature

X Continuation Divisional Continuation-in-part (CIP)
Of Prior Application No.: 09/728,844 Examiner Group Art Unit
(which claims priority to prior application no. 60/220,798
and also claims priority to prior application no. 60/236,422,
which is a continuation/ divisional/ CIP of prior application no.) (List entire chain of priority)

18B. Statement under 37 CFR 3.73(b) for continuing application:

19.	Correspondence Address
-----	------------------------

_____ Customer Number or Bar Code Label _____
or _____
(Insert Customer No. or Attach Bar Code Label here)

X	Correspondence Address Below
----------	------------------------------

NAME Dennis M. de Guzman
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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Seventh Floor

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Name (PRINT/TYPE): Dennis M. de Guzman **Registration No.:** 41,702

Signature: Berni M de G Date: 4/11/01

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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

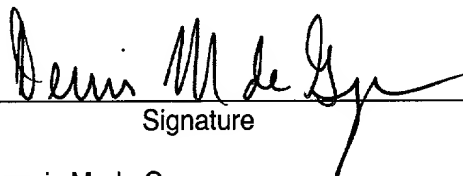
First Named Inventor Mai-lan Tomsen
Title METHOD AND SYSTEM TO DEFER TRANSACTIONS CONDUCTED VIA INTERACTIVE TELEVISION

Attorney Docket No. 005217.P005C

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

4/11/01

Date



Signature

Dennis M. de Guzman

Typed or Printed Name

41,702

Registration No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months after the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Send to: Assistant Commissioner for Patents, Washington, D.C. 20231

Our Reference: 005217.P005C

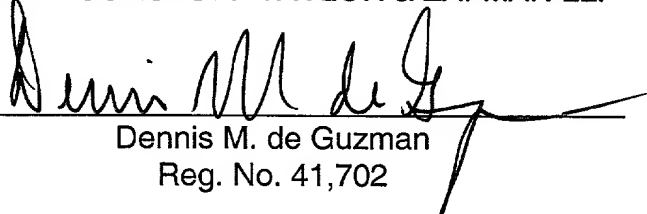
Patent

METHOD AND SYSTEM TO DEFER TRANSACTIONS CONDUCTED VIA
INTERACTIVE TELEVISION

Inventors: Mai-lan Tomsen and Anthony F. Istvan

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP


Dennis M. de Guzman
Reg. No. 41,702

"Express Mail" mailing label number: EL431685292US

Date of Deposit: April 11, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Melanie Besecker

(Typed or printed name of person mailing paper or fee)
Melanie Besecker 4-11-01
(Signature of person mailing paper or fee) (Date signed)

Serial/Patent No.: New Application Filing/Issue Date: Herewith
Client: Digeo Broadband, Inc.
Title: METHOD AND SYSTEM TO DEFER TRANSACTIONS CONDUCTED VIA INTERACTIVE TELEVISION
BSTZ File No.: 005217.P005C Atty/Secty Initials: DMG:mmm
Date Mailed: April 11, 2001 Docket Due Date: _____

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- | | |
|---|--|
| <input type="checkbox"/> Amendment/Response (____pgs.) | <input checked="" type="checkbox"/> Express Mail No.: <u>EL431685292US</u> Check No. _____ |
| <input type="checkbox"/> Appeal Brief (____pgs.) (in triplicate) | <input type="checkbox"/> ____Month(s) Extension of Time Amt: _____ |
| <input type="checkbox"/> Application - Utility (____pgs., with cover and abstract) | <input type="checkbox"/> Information Disclosure |
| <input checked="" type="checkbox"/> Application - Rule 1.53(b) Continuation (<u>28</u> pgs.) | Statement & PTO-1449 (____pgs.) <input type="checkbox"/> Check No. _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____pgs.) | <input type="checkbox"/> Issue Fee Transmittal Amt: _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____pgs.) | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____pgs.) | <input type="checkbox"/> Petition for Extension of Time |
| <input type="checkbox"/> Application - Design (____pgs.) | <input type="checkbox"/> Petition for _____ |
| <input type="checkbox"/> Application - PCT (____pgs.) | <input checked="" type="checkbox"/> Postcard |
| <input type="checkbox"/> Application - Provisional (____pgs.) | <input type="checkbox"/> Power of Attorney (____pgs.) |
| <input type="checkbox"/> Assignment and Cover Sheet | <input type="checkbox"/> Preliminary Amendment (____pgs.) |
| <input checked="" type="checkbox"/> Certificate of Mailing | <input type="checkbox"/> Reply Brief (____pgs.) |
| <input checked="" type="checkbox"/> Declaration & POA (<u>5</u> pgs.) (unsigned) | <input type="checkbox"/> Response to Notice of Missing Parts |
| <input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____pgs.) | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business |
| <input checked="" type="checkbox"/> Drawings: <u>9</u> # of sheets includes <u>9</u> figures | <input checked="" type="checkbox"/> Transmittal Letter, in duplicate (<u>3</u> pgs each) |
| <input checked="" type="checkbox"/> Other <u>* separate title page with express mail certificate, copy of postcard and attorney's signature (1pg)</u> | <input type="checkbox"/> Fee Transmittal, in duplicate |
| <u>* PTO form 58/35 (1pg) (pg 3 of transmittal)</u> | |